## **ESA Complaints and Feedback Policy**



#### **Purpose**

This policy outlines how people using Early Start Australia's (ESA's) services can provide feedback and complaints; and how feedback and complaints will be managed.

#### Scope

This policy applies to all employees, contracted staff, and students.

#### **Principles**

Our complaints and feedback process uses principles of client-centredness, equity, procedural fairness and natural justice. Clients and stakeholders are encouraged to exercise their right to provide feedback.

### **Policy Statement**

We value complaints and feedback as an important tool to improve the quality of our services. We want to gain a genuine understanding of the feedback provided. As consumers of our services, clients and stakeholders have a right to communicate their feedback without it impacting the service that they receive.

Our aim is to treat all feedback and complaints responsively, respectfully, and thoroughly.

To ensure that we are objective and recognise any trends, internal committees may review deidentified complaint and feedback information.

Feedback, and the personal information of the person providing feedback, are dealt with in accordance with the Commonwealth Privacy Act 1988 (as amended). See our Privacy Policy for further information.

### Lodging a Complaint or Feedback

Clients and stakeholders can provide feedback or a complaint by:

- Phone or in person at their ESA clinic.
- One of our employees can lodge this on a client or stakeholder's behalf.
- Email to their ESA clinic's Practice Principal
- Using our online feedback and complaint form on our website

We can provide support to clients and stakeholders who need it, to lodge feedback or a complaint.

### Working with the Person Providing Feedback

#### The Complainant

When we receive feedback or a complaint, the clinic will work with the client or stakeholder who has provided the feedback, to understand their concern. Where appropriate, we may involve the complainant in the investigation and resolution process. Each complaint will be addressed in an objective and unbiased manner.

Complainants will be treated respectfully and kept advised of the progress of their complaint throughout the process.

Where appropriate, clients and families can be supported through the feedback and complaints process and provided with information about accessing advocacy services.

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### The Person Providing Positive Feedback

When we receive positive feedback, this will be shared with the person who is the subject of the positive feedback. Where appropriate, this may also be shared with the person's Manager(s). The person providing positive feedback will be treated respectfully throughout the process.

## **Escalating and Reporting Complaints**

Complainants may escalate their complaint if they are not happy with the response from us, or if they choose to do so. There may also be a requirement for us to proactively report on complaints externally. Appropriate external complaints handling bodies include:

All States	NDIS Quality and Safeguards Commission		
and	1800 035 544		
Territories	Online:		
	https://forms.business.gov.au/smartforms/servlet/SmartForm.html?formCode=PRD00-OCF		
WA	Health and Disability Services Complaints Office (HaDSCO)		
	(08) 6551 7600		
	1800 813 583		
	Online: <a href="https://www.hadsco.wa.gov.au/Complaints/Complaint-Form">https://www.hadsco.wa.gov.au/Complaints/Complaint-Form</a>		
SA	Health and Community Services Complaints Commissioner		
	(08) 8226 8666		
	1800 232 007		
	Online: <a href="https://www.hcscc.sa.gov.au/making-a-complaint/raise-a-complaint-with-hcscc/">https://www.hcscc.sa.gov.au/making-a-complaint/raise-a-complaint-with-hcscc/</a>		
Victoria	Victorian Disability Services Commissioner		
	1800 677 342		
	Online: <a href="https://www.odsc.vic.gov.au/making-a-complaint/how-to-make-a-complaint/">https://www.odsc.vic.gov.au/making-a-complaint/how-to-make-a-complaint/</a>		
NSW	Ombudsman New South Wales		
	Online: https://www.ombo.nsw.gov.au/complaints/making-a-complaint		
Queensland	Ombudsman Queensland		
	1800 068 908		
	Online: https://www.ombudsman.qld.gov.au/make-a-complaint/makecomplaint		
Northern	Health and Community Services Complaints Commissioner		
Territory	1800 004 474		
	Online: https://www.hcscc.nt.gov.au/complaints/making-a-complaint/make-a-complaint-		
	online/		
ACT	Human Rights Commission:		
_	Online: https://hrc.act.gov.au/complaints/		
Tas	Health Complaints Commissioner Tasmania		
	https://www.healthcomplaints.tas.gov.au/		

### Staff Training

All our staff receive training in receiving feedback and complaints as part of the induction process and ongoing annual training and professional development.

### **Roles and Responsibilities**

### **Practice Principal**

- In most instances, manages the complaint and investigates the complaint (if required).
- Keeps all relevant staff and the complainant informed of the outcome, response and any actions.

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### The Corporate Team

- Provides support and oversight to the investigator in managing a complaint if required.
- Reviews any processes, documents or complete audits if required as part of the complaints process and continuous improvement.
- Identifies local, regional or organisational trends or opportunities for improvement.

#### **Associated Documents**

Privacy Policy
ESA Complaints and Feedback Procedure
ESA Complaints Form (available on our website)
ESA Complaints and Feedback Information Sheet

### Our complaint system meets:

- National Standards for Disability Services (Standard 4: Feedback and Complaints);
- National Disability Insurance Scheme (NDIS) (Provider Registration and Practice Standards) Rules 2018
- NDIS (Complaints Management and Resolution) Rules 2018
- NDIS Code of Conduct for Workers
- Commonwealth NDIS Act (2013)
- Commonwealth Privacy Act (1988) (as amended)
- United Nations Convention on The Rights of Persons with Disabilities

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